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Patent Ductus Arteriosus (PDA) - Management

Guideline Responsibilities and Authorisation

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Guideline Review History

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| 1 | Lela Yap | November 2023 | New Guideline |
| 1.1 | Vinayak Kodur | July 2024 | Changes in the treatment flowchart and addition of Post Ligation Cardiac Syndrome |
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Patent Ductus Arteriosus (PDA) - Management

1 Overview

1.1 Background

Consensus regarding the diagnosis of persistent ductus arteriosus (PDA) and determination of its clinical and haemodynamic significance remains a controversial topic in neonatal medicine. PDA during the first postnatal week has been associated with abnormal cardiac adaptation and substantial neonatal morbidities (IVH, CLD, NEC). Occasionally, a widely patent ductus arteriosus (PDA) can lead to acute cardiac failure, ductal steal with impaired cardiac function leading to poor peripheral perfusion, metabolic acidosis and shock. The presence of a large PDA on day 3 is associated with a two-fold increased risk of mortality and six-fold increased risk of IVH. Early diagnosis and therapy may also modify the risk of other physiological disturbances.

Indometacin, ibuprofen and paracetamol are the most widely used agents for pharmacological closure of a hemodynamically significant PDA. However, the timing and method of administration remains controversial with inter-unit variability. In addition, effectiveness of these agents to achieve closure and improve duct-related outcomes is modest, which, in some situations, shifts the risk-benefit profile towards increase in adverse effects of treatment. Though surgical ligation of a PDA has a significantly higher closure success rate, it is associated with proportionately greater adverse neonatal and infantile outcomes. Newer approaches of minimally invasive methods of ductal ligation appear to confer benefit; however, further comparative studies with pharmacotherapy treatment and the development of expertise are needed.

Data from the EPIPAGE and DETECT studies demonstrated that in centres which practiced early screening echocardiography and targeted PDA treatment there is an association of lower mortality. Echocardiography findings highly suggestive of haemodynamically significant PDA (HsPDA) precede clinical exam findings. Whilst not all PDA require treatment, international consensus lacks on criteria for HsPDA and treatment, based on current best available evidence and expert opinion. Some units apply echocardiography criteria to identify infants most likely to benefit from PDA treatment. This guideline can be used as definitive or as an adjunct for clinical decision making regarding early PDA closure in a targeted population using standardised echocardiography parameters.

1.2 Purpose

This guideline aims to support a systematic approach when using echocardiography for diagnosis and therapy of PDA during the transitional period in a subset of the preterm population.

1.3 Staff group

Nurse practitioners & Medical Team (SMO, RMO)

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1.4 Patient / client group requiring early echocardiography scan

| <24 hrs PNA | 24-48hrs PNA | Any PNA |
|--|--|--|
| <ul style="list-style-type: none"> • <28 weeks AND <ul style="list-style-type: none"> ○ on HFOV, nitric oxide or inotropes | <ul style="list-style-type: none"> • <26 weeks OR • 26-28 weeks AND <ul style="list-style-type: none"> ○ Intubation event in the 1st 24hrs PNA ○ on NIV, given surfactant and has a continuing supplemental oxygen requirement ○ has a clinical murmur | <ul style="list-style-type: none"> • 28-32 weeks or 1000-2000g at birth AND <ul style="list-style-type: none"> ○ on mechanical ventilation >24hrs since birth ○ on HFOV, nitric oxide or inotropes ○ has a clinical murmur |

1.5 Definitions and acronyms

| | |
|----------------------------|---|
| ASUM | Australian Society of Ultrasound |
| CLD | Chronic lung disease |
| Clinician | Senior Medical Officer, Neonatal Intensive Care Unit (NICU) Fellow, Neonatal Nurse Practitioner, Sonographer, Registrar |
| CPU | Clinician performed ultrasound |
| DA | Ductus arteriosus |
| ELBW | Extremely low birth weight, <1000 g |
| Extreme Prematurity | Preterm newborn <28+0 weeks. |
| ELGAN | Extremely low gestational age newborn, <28/40 |
| ETT | Endotracheal Tube |
| HFOV | High frequency oscillation ventilation |
| HsPDA | Haemodynamically significant patent ductus arteriosus |
| IV | Intravenous |
| IVH | Intraventricular haemorrhage |
| LA:Ao ratio | Left atrium to aorta ratio |
| LVO | Left ventricular output |
| NEC | Necrotising enterocolitis |

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| NSAID | Non-steroidal anti-inflammatory drug (Indometacin or ibuprofen) |
| NIV | Non-invasive ventilation (all respiratory support that is not via ETT) |
| PDA | Patent ductus arteriosus |
| PEEP | Positive End Expiratory Pressure |
| PNA | Postnatal age |
| SMO | Senior medical officer |

1.6 Eligibility Criteria for Treatment

The below qualifying parameters should be assessed and documented, as reference for the clinical decision to use PDA closure management strategies.

Essential criteria

1. Ductal diameter > 1.5mm AND
2. Predominant (>90%) left-to-right transductal flow AND
3. PDA Flow pattern is growing or pulsatile AND
4. Increased turbulence through the main pulmonary artery or left pulmonary artery with LPA end diastolic flow velocity > 0.2m/sec AND
5. Absent or reversed descending aorta flow AND/OR absent or reversed flow in celiac/middle cerebral artery AND
6. No evidence of coarctation of aorta or systemic or supra-systemic pulmonary hypertension.

Other parameters that can be considered along with above criteria

- LA:Ao ratio >1.5 (usually a late sign and dependent on the fluid status of the baby)
- LVO >300 ml/min/kg (dependent on fluid status of the baby)
- Pulmonary vein Doppler with 'D' wave max velocity >0.4 m/sec

*See [APPENDIX A](#) for description and echo views

1.7 Exceptions / contraindications

1. Newborn with suspected or confirmed duct dependent congenital cardiac disease
 2. Echocardiography consistent with acute pulmonary hypertension
 3. Moderate-severe ventricular dysfunction
 4. Contraindication to pharmacotherapy treatment
- Note: Pre-existing intraventricular haemorrhage does not disqualify a newborn from therapy as a reduction in an HsPDA may modulate the extent of the injury. However, an **ultrasound head is recommended before and after PDA closure therapy.**

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2 Clinical management

2.1 Roles and responsibilities

It is the clinician's responsibility who is performing the CPU to report findings in the suggested format, on Karisma.

2.2 Competency required

- ASUM (or equivalent) accredited cardiac ultrasound certification
- Paediatric cardiologist or equivalent
- CPU trainee (findings must be confirmed with the supervisor/cardiologist)

2.3 Equipment

- Ultrasound Machine and related infection prevention control cleaning equipment.
- Single use sachet sterile ultrasound gel.

3 Pharmacotherapy Ductal Treatment

Choice of therapy is one of the most contentious topics in the care of preterm infants. Currently local choice of therapy for moderate or severe hsPDA is ibuprofen ([Ibuprofen for neonates](#) Ref 2928) especially if given in the first week after birth. Oral is the preferred route. It is advisable for these babies to have some nutritional feeds to reduce the gastro-intestinal side effects as bleeding or perforation.

In cases where NSAID is a relative or absolute contraindication Paracetamol ([Paracetamol for neonates](#) Ref 2949) may be considered as first line.

Please refer to [Appendix B](#) for flowchart.

3.1 Late PDA Treatment

Late treatment (> 14 days postnatal age) of an hsPDA may be considered to facilitate closure and avoid surgical ligation among extremely preterm neonates. If this is being considered at >4 weeks postnatal age, it must be discussed at the complex case meeting.

If pharmacological closure is unsuccessful and PDA closure outcome remains, discussion with local general paediatric surgeons and Starship cardiology should occur.

3.2 Total courses

Success of medical management with NSAIDs reduces with each course. Current evidence does not advocate for medical management beyond three courses. In such infants, PDA can be managed conservatively or surgically as per the Neonatology consensus at complex case meeting or in discussion with a Paediatric Cardiologist.

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4 Concurrent management

Ductal shunt is dependent on multiple factors as governed by Poiseuille's law, which determines the volume of flow through a tube. Shunt through the PDA may, therefore, be modulated by optimizing these factors. The pressure gradient may be optimized by creating ambient conditions whereby pulmonary vascular resistance is maintained sufficiently low to ensure oxygenation but sufficiently high to limit the volume of pulmonary blood flow across the PDA. This can be done in the following ways:

Avoid Nitric oxide

- Avoid nitric oxide administration in the setting of an hsPDA; infants already on iNO at the time of screening should be weaned off iNO over 12 hours and echo assessment repeated prior to ibuprofen or paracetamol therapy to ensure no residual pulmonary hypertension.

Targeted Hypercapnia

- Maintain permissive hypercapnia (pCO₂ target 6.7-8.7kPa/50-65mmHg).

Target Oxygenation saturations as per unit guidelines

- [Oxygen Therapy for Newborns in NICU](#) (Ref. 3115)

Optimise PEEP

- Consider PEEP to tamponade PDA flow (maximum PEEP to not exceed 10cm H₂O)

Fluid restriction

- Fluid restriction is **not** recommended as a method of modulating shunt. Its efficacy is limited, and lower overall fluid intake leads to lower cardiac output which is associated with a greater risk of compromised post-ductal circulation without change in shunt. Feeding should continue throughout therapy.

Haematology

- Haemoglobin levels should be maintained as per [Blood Transfusions in Newborn Intensive Care Unit \(NICU\)](#).

Further pharmacotherapy

- Diuretics, particularly furosemide, are **not** recommended in the setting of an hsPDA for the same reason as fluid restriction. One of the downstream actions of furosemide is the upregulation of prostaglandin E production in the kidney and its release into circulation which may have a negative impact on the efficacy of ductal closure strategies. This has been associated with both increased risk of persistent ductal shunt and re-manifestation of the ductus arteriosus after previously documented functional closure.

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5 Post Ligation Cardiac Syndrome (PLCS)

Pathophysiology

Definition:

PLCS is defined as hypotension requiring inotropic support and failure of oxygenation and ventilation, may occur 6-12 hours following ligation due to left ventricular systolic and diastolic failure.

See [Appendix C](#) for the pathophysiology. A sudden increase in the afterload is responsible for this decompensation.

Typically, patients who go on to develop PLCS have a period of stable oxygenation and perfusion for 6-12 h during which the myocardium is compensating for changes in loading conditions and signs of impaired circulation have not yet manifested ([Appendix D](#)).

Management:

1. Please chart dobutamine, milrinone and epinephrine (adrenaline) on fluids and treatment sheet. Keep them wired in prior to surgery.
2. Please request a cardiac scan to be done within 12 hours (preferably at 2 hours) after surgical ligation to assess LV function and cardiac output.
3. Use inotropes as outlined in [Appendix D](#).
 - If normal blood pressure early on after the surgery: start Milrinone (do **not** use loading dose).
 - If blood pressure is low, use Dobutamine up to a dose of 10 microgram/kg/min.
 - If the blood pressure is still low and infant is showing features of PLCS, use epinephrine (adrenaline) along with hydrocortisone.

6 Evidence base

6.1 References and bibliography

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6.2 Associated Health NZ Waikato Documents

- Blood Transfusions in Newborn Intensive Care Unit (NICU) procedure (Ref. 1645)
- [Oxygen Therapy for Newborns in NICU](#) protocol (Ref. 3115)
- [Ibuprofen for Neonates](#) medicine guideline (Ref. 2929)
- [Indometacin for neonates](#) medicine guideline (Ref. 2930)
- [Paracetamol for Neonates](#) medicine guideline (Ref. 2949)

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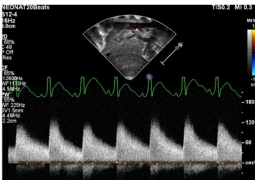
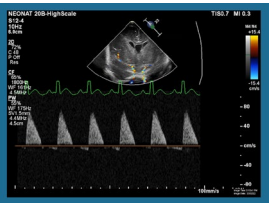
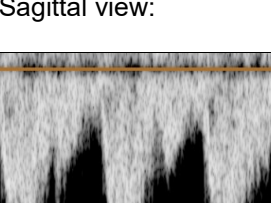

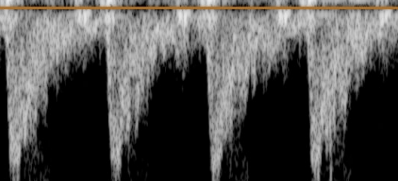
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Appendix A – Description and Echo Views

Description of echocardiography variables:

| Echo marker | Description | Echo View | Echo View example |
|------------------------------|---|---|-------------------|
| Mitral E (cm/s) | Peak velocity of flow across the mitral valve, from the left atrium to the left ventricle, on the early diastolic atrial phase. | Apical 4-chamber view, pulsed Doppler (PW) at the level of the tip of mitral valve leaflets | |
| IVRT (ms) | Time interval between mitral valve closure and aortic valve opening | Apical 3-chamber view. Pulsed Doppler positioned midway between aortic and mitral valves to obtain a clear signal showing both mitral inflow and aortic outflow | |
| Pulmonary vein D wave (cm/s) | Peak velocity of flow in pulmonary veins, on the ventricular diastolic phase | Apical 4-chamber view, pulsed doppler (PW) | |
| LA:Ao | Ratio between the diameter of left atrium and the diameter of the aortic annulus | Parasternal long-axis view, M-mode with the cursor positioned perpendicular to aortic valve at the level of hinge points of aortic valve | |
| LVO (ml/mi) | LVO= stroke volume X heart rate. Stroke volume = aortic VTI X aortic cross-sectional area. Cross-sectional areal = (3.14) X aortic ratio ² . Aortic ratio = aortic annulus/2. LVO = VTI x 3.14 x (aortic annulus/d) ² x HR/ weight (kg) | | |

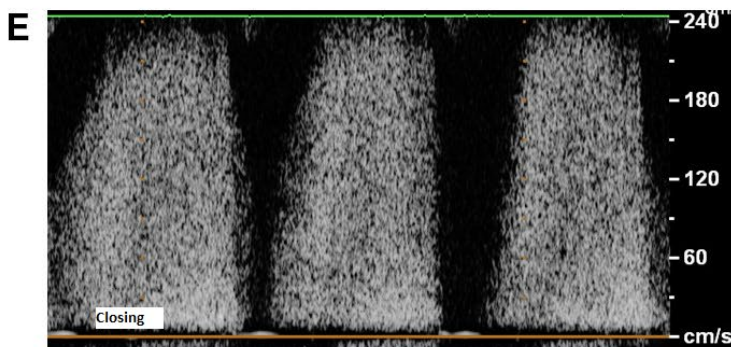
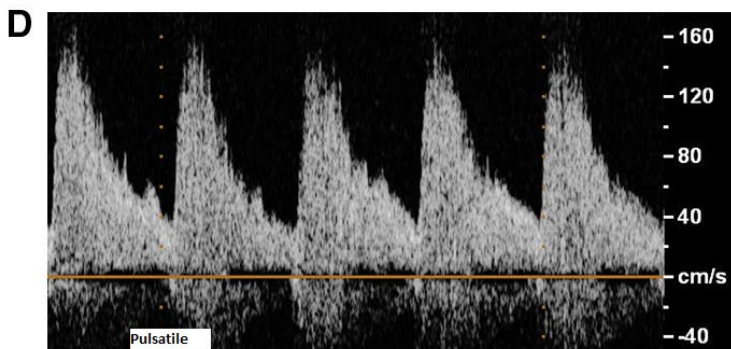
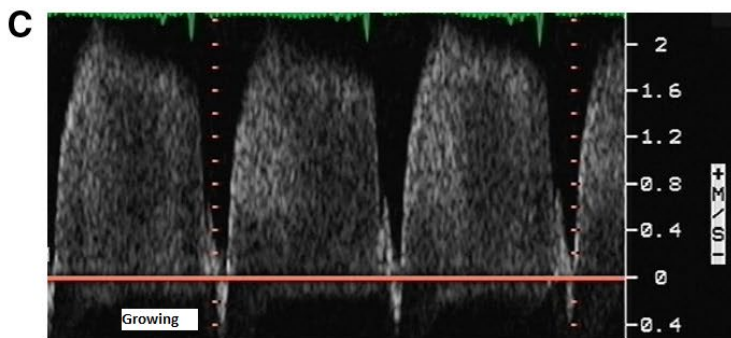
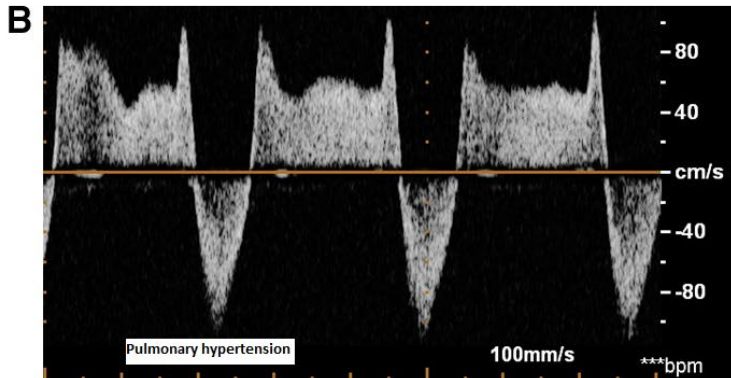
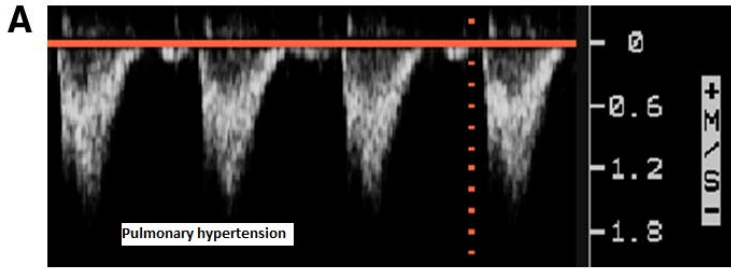
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| <p>Descending aortic diastolic flow pattern</p> | <p>Pattern of flow in diastole; cases with complete diastolic flow reversal considered reversed</p> | <p>Pulsed doppler on supra-sternal or subcostal views</p> | <p>Supra-sternal view:</p>  <p>Subcostal view:</p>  |
| <p>Celiac artery diastolic flow pattern</p> | <p>Pattern of flow in diastole; cases with complete diastolic flow reversal considered reversed</p> | <p>Pulsed doppler on subcostal views</p> |  |
| <p>Middle cerebral artery</p> | <p>Pattern of flow in diastole; cases with complete diastolic flow reversal considered reversed</p> | <p>Pulsed Doppler in coronal or sagittal view via anterior fontanelle</p> | <p>Coronal view:</p>  <p>Sagittal view:</p>  |
| <p>Main Pulmonary Artery (MPA)</p> | <p>Increased turbulence in the MPA diastolic flow</p> | <p>PW Doppler of MPA in PA view/ Parasternal short axis view</p> |  |
| <p>Left Pulmonary Artery (LPA)</p> | <p>Increased end diastolic velocity in the LPA</p> | <p>PW Doppler of LPA in PA view/ Parasternal short axis view</p> |  |

Images from Neocardiolab.com and Echocardiograph for the Neonatologist.

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Ductal Flow Patterns:



Considered most associated flow patterns with haemodynamically significant PDA

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Appendix B – Flow Chart for PDA identification and Management

| | | |
|---|---|---|
| <p><24 hrs PNA</p> <ul style="list-style-type: none"> • <28 weeks AND - on HFOV, nitric oxide or inotropes | <p>24-48 hrs PNA</p> <ul style="list-style-type: none"> • <26 weeks OR • 26-28 weeks AND - Intubation event in the 1st 24hr PNA - on NIV, given surfactant and has a continuing supplemental oxygen requirement - has a clinical murmur | <p>Any PNA</p> <ul style="list-style-type: none"> • 28-32 weeks or 1000-2000g at birth AND - on mechanical ventilation >24hr since birth - on HFOV, nitric oxide or inotropes - has a clinical murmur |
|---|---|---|

Cardiac scan suggestive of haemodynamically significant PDA

1st Course

Ibuprofen
(1st line if normal renal function, platelets and serum bilirubin below exchange, avoid if on steroids)

Infants < 72 h age
Day 1: 10 mg/kg/dose
Day 2 and 3: 5 mg/kg/dose

Infants ≥ 72 h (high dose preferred)
Day 1: 20 mg/kg/dose
Day 2 and 3: 10 mg/kg/dose

Indometacin
2nd line – as per guideline

Paracetamol
(1st line if abnormal renal function, platelets Or on steroid/hydrocortisone. Avoid if deranged Liver Function)

Loading dose 15mg/kg/dose
Maintenance (total 12 doses)
<1000g/<28 weeks 7.5mg/kg every 6 hourly
>1000g/>28 weeks 15mg/kg 6 hourly

Cardiac scan at the end of treatment, if persistence of haemodynamically significant PDA then consider 2nd course of treatment.

2nd Course

If 1st course therapy was Ibuprofen or Indometacin then 2nd course therapy will be Paracetamol.

Consider a total 6 days (24 doses) treatment if 1st course was Paracetamol at maintenance dose.
Repeat Liver function is advised.

Reassess after finishing the 2nd course of treatment as clinically indicated.

Follow-up

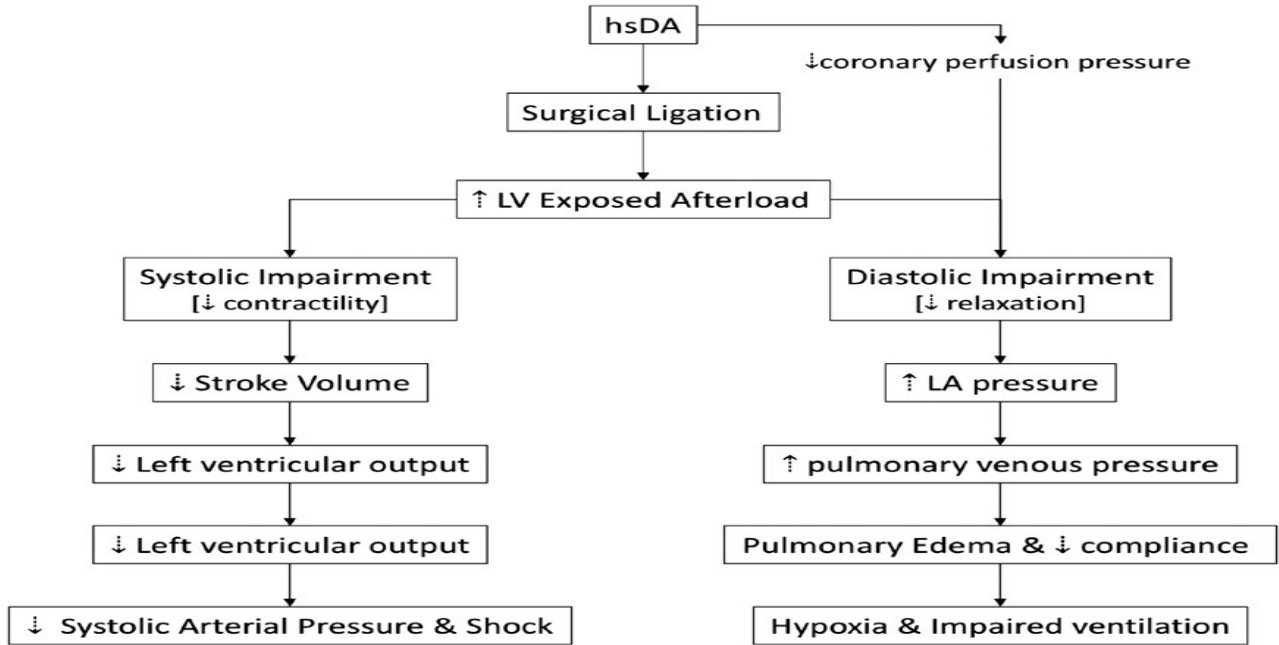
In case of 2 failed medical courses, before 3rd course, discuss with Paediatric Cardiology, either

1. Manage conservatively or
2. Surgical or device closure

If PDA has closed: no further followup
If PDA is open but not haemodynamically significant: cardiac scan prior to discharge is needed

Patent Ductus Arteriosus (PDA) - Management

Appendix C – Pathophysiology of the Post Ligation Cardiac Syndrome



Patent Ductus Arteriosus (PDA) - Management

Appendix D – Management of the Post Ligation Cardiac Syndrome

